

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

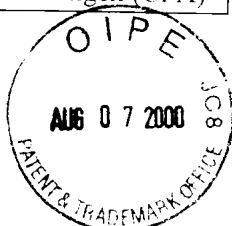
Application of: Rondon & Ladner
 Serial No.: 09/541,345
 Filing Date: April 3, 2000
 Entitled: Binding Peptides for
 Carcinoembryonic Antigen (CEA)

Group Art Unit: 1646

Examiner: (not yet assigned)

Attorney Docket No. DYX-016.0 US

Asst. Commissioner for Patents
 BOX MISSING PARTS
 Washington, D.C. 20231



TRANSMITTAL LETTER

Sir:

In response to the Notice to File Missing Parts of Application dated June 7, 2000, Applicants submit herewith an executed Declaration and Power of Attorney under 37 C.F.R. §1.63 together with the surcharge fee of \$65.00 (check no. 2131op) under 37 CFR §1.16(e) for a small entity.

Applicants further submit an executed assignment of exclusive rights under the above-identified patent application and associated cover sheet to be recorded in the United States Patent and Trademark Office together with the recordation fee of \$40.00 (check no. 2133op) under 37 CFR §1.21(h). Recordation of assignment is hereby requested.

Transmitted herewith are: [X] a Notice To File Missing Parts of Nonprovisional Application (Part 2); [X] an executed Combined Declaration and Power of Attorney; [X] a Verified Statement Claiming Small Entity Status; [X] a recordation form cover sheet; [X] an executed Assignment; [X] a check in the amount of \$65.00 in payment of the surcharge fee under 37 CFR §1.16(e); [X] a check in the amount of \$40.00 in payment of recordation fee under 37 CFR §1.21(h); [X] a Request for Refund of Overpaid Fee; and [X] a return postcard, for filing in the above-identified patent application.

[X] Small entity status is established herewith for Applicant(s) in this case.

FEE FOR ADDITIONAL CLAIMS

[X] A fee for additional claims is not required.

[] A fee for additional claims is required. The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	NUMBER OF EXCESS CLAIMS	RATE	FEES DUE
TOTAL CLAIMS	26	26	0	× \$9	= 0.00
INDEPENDENT	5	5	0	× \$39	= 0.00
FIRST INTRODUCTION OF MULTIPLE DEPENDENT CLAIM				+\$130	= 0.00
TOTAL FEES DUE					= 0.00


PAYMENT OF ADDITIONAL FEES

- [X] A check in the amount of \$65.00 in payment of the fee for filing an oath or declaration under 37 CFR §1.16(e) is transmitted herewith {check no. 2131op}.
- [X] A check in the amount of \$40.00 in payment of the fee for recording a patent assignment under 37 CFR §1.21(h) is transmitted herewith {check no. 2133op}.
- [X] The Commissioner is hereby authorized to charge payment of any additional fees required under 37 CFR §1.16 or §1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.

PETITION FOR EXTENSION OF TIME

- [] Extension is requested under 37 CFR §1.136(a), and the following extension fee is applicable for the paper(s) filed herewith: [] \$55.00 for response within first month pursuant to 37 CFR §1.17(a)(1);
[] \$190.00 for response within second month pursuant to 37 CFR §1.17(a)(2);
[] \$435.00 for response within third month pursuant to 37 CFR §1.17(a)(3);
[] \$680.00 for response within fourth month pursuant to 37 CFR §1.17(a)(4);
[] \$925.00 for response within fifth month pursuant to 37 CFR §1.17(a)(5).
- [] A check in the amount of [] \$55.00 [] \$190.00 [] \$435.00 [] \$680.00 in payment of the extension fee is transmitted herewith. {check no. _____}
- [] Please charge the requisite fee of [] \$55.00 [] \$190.00 [] \$435.00 [] \$680.00 [] \$925.00 in payment of the extension fee to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.
- [X] The Commissioner is hereby authorized to charge payment of any additional fees required in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,


Leon R. Yankwich, Registration No. 30,237
Kenneth P. Zwicker, PhD; Registration No. 43,310
Attorneys for Applicants
YANKWICH & ASSOCIATES
130 Bishop Allen Drive
Cambridge, Massachusetts 02139
telephone: (617) 491-4343
telefax: (617) 491-8801

CERTIFICATE OF MAILING

The undersigned hereby certifies that this correspondence is being deposited with the U.S. Postal Service as first class mail, in an envelope addressed to the Asst. Commissioner for Patents, BOX MISSING PARTS, Washington, DC 20231, on the date indicated below.

August 3, 2000
Date of mailing and signature


Kimberly B. Chelo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

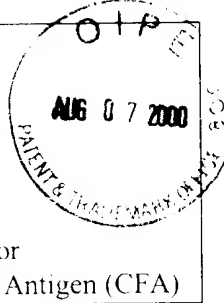
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Serial No.: 09/541,345

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Attorney Docket No. DYX-016.0 US



Group Art Unit: 1646

Examiner: (not yet assigned)

Asst. Commissioner for Patents
Washington, DC 20231

**REQUEST FOR REFUND OF OVERPAID FEE
PURSUANT TO 37 C.F.R. §1. 26 (a)**

Sir:

The undersigned attorney of record hereby requests that the PTO Deposit Account of Yankwich & Associates, No. 50-0268, be credited in the amount of \$607.00 pursuant to the provisions of 37 CFR §1.28(a)(1). The small entity status of the Applicants in this case is established by a "Verified Statement Claiming Small Entity Status (37 C.F.R. 1.9(f) and 1.27(c)) – Small Business Concern" filed concurrently herewith.


REMARKS

Applicants' attorney forwarded the above-identified application to the Patent Office for filing on March 30, 2000, along with a check for \$1214.00 in filing fees (check no. 2107).

Applicants are now establishing by an executed Verified Statement Claiming Small Entity Status (37 C.F.R. 1.9(f) and 1.27(c)) – Small Business Concern, filed simultaneously herewith, that they are entitled to small entity status and to pay reduced fees, and therefore Applicants' attorney is believed to be entitled to request crediting of his Deposit Account in the amount of \$607.00. A duplicate copy of the executed Verified Statement Claiming Small Entity Status (37 C.F.R. 1.9(f) and 1.27(c)) – Small Business Concern is also enclosed.

This request is being filed within two months of the Notice to File Missing Parts of Nonprovisional Application dated June 7, 2000, and is therefore timely under 37 CFR §1.28(a)(1).

Respectfully submitted,



Leon R. Yankwich; Registration No. 30,237
Kenneth P. Zwicker, PhD; Registration No. 43,310
Attorneys for Applicants
YANKWICH & ASSOCIATES
130 Bishop Allen Drive
Cambridge, Massachusetts 02139
telephone: (617) 491-4343
telefax: (617) 491-8801

CERTIFICATE OF MAILING

The undersigned hereby certifies that this correspondence is being deposited with the U.S. Postal Service as first class mail, in an envelope addressed to the Asst. Commissioner for Patents, Washington, DC 20231, on the date indicated below.

August 3, 2000
Date of mailing and signature

Kimberly B. Chelo
Kimberly B. Chelo

FORMALITIES LETTER



OC000000005163236

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, DC 20231

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/541,345	04/03/2000	Isaac Jesus Rondon	DYX-016.0

Leon R. Yankwich Esq
Yankwich & Associates
130 Bishop Allen Drive
Cambridge, MA 02139



Date Mailed: 06/07/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*



 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE